

# Health Care Quality Account FY 2018 Annual Report

Larry Hogan, Governor

Boyd Rutherford, Lt. Governor

Robert Neall, Secretary

Patricia Tomsko Nay, MD, CMD, Executive Director

### Overview

The Office of Health Care Quality (OHCQ) is the agency within the Maryland Department of Health charged with monitoring the quality of care in Maryland's health care facilities and community-based programs. OHCQ's four main functions include:

- 1. Licensure: OHCQ issues licenses, authorizing the operation of a business in Maryland;
- 2. Certification: OHCQ recommends certification to the Centers for Medicare and Medicaid Services (CMS), authorizing participation in the Medicare and Medicaid programs;
- 3. Surveys: OHCQ conducts surveys to determine compliance with State and federal regulations, which establish minimum standards for the delivery of care; and
- 4. Education: OHCQ educates providers, consumers, and other stakeholders through written materials, websites, and presentations.

OHCQ's mission is to protect the health and safety of Marylanders and to ensure there is public confidence in the health care and community service delivery systems. The Health Care Quality Account (HCQA) grants program is one way that OHCQ improves the quality of care and services throughout the State. The funds collected from civil money penalties issued to nursing homes and assisted living facilities support activities that improve the quality of life of individuals who reside in Maryland's nursing homes and assisted living facilities.

## **Health Care Quality Account Grants Program**

Maryland's Health Care Quality Account (HCQA) was established in 2000 by the General Assembly. It is similar in purpose and scope to an account established previously by federal regulation that is used to improve the quality of care provided in nursing homes nationwide. The Maryland HCQA is funded by receipts of civil money penalties (CMP) imposed by the Office of Health Care Quality (OHCQ) on nursing homes or assisted living facilities for serious noncompliance with regulations. It is comprised of the following three special fund accounts:

- 1. Federal Nursing Home (FNH) Account: funds collected from nursing homes from civil money penalties imposed by CMS;
- 2. State Nursing Home (SNH) Account: funds collected from nursing homes from civil money penalties imposed by OHCQ; and

3. State Assisted Living (SAL) Account: funds collected from assisted living providers from civil money penalties imposed by OHCQ. This fund was created by the Maryland General Assembly in 2007.

Each of these three accounts is a non-lapsing special fund. Monies deposited in these accounts are allocated through grant awards in support of education, training, and demonstration projects designed to improve the quality of care provided in Maryland's nursing homes or assisted living programs. A balance is maintained in these accounts in the event the funds are needed for any weather-related or other emergencies that affect residents of nursing homes or assisted living programs.

#### **Grants Award Process**

Applications for grant awards from the Health Care Quality Account are accepted throughout the year. Awarded grant amounts vary and are contingent upon the availability of funds and the number of applications received, approved, and funded. At a minimum, the submitted application must include the following information describing the proposed project:

- Title Page: Project title; applicant organization's name and address; project director's name; and contact information;
- Executive Summary: Project's purpose and scope, and summary of entire proposal;
- Background and Significance: Impetus for project (i.e., why this project is necessary);
- Project Plan: Description of project-related goals and objectives; activities to be undertaken to accomplish goals and objectives; project timeline (i.e., nuts and bolts of how project will be implemented);
- Consumer and Stakeholder Involvement: Identification of key individual and organizational partners and how they will be engaged in project design and implementation;
- Expected Outcomes: Identification of intended beneficiaries and outcomes; deliverables; and plan for sustainability;
- Progress and Outcomes Metrics: How progress toward and achievement of expected outcomes will be assessed;
- Proposed Project Budget and Justification: Amount of funding needed to accomplish stated goals and objectives; quantified itemization and narrative justification for major categories of anticipated expenditures; and
- Assurance of Non-Supplantation: Brief description of how the project will not redefine, displace or replace current responsibilities of any participating organization to
  meet existing Medicare or Medicaid requirements, or other statutory or regulatory
  requirements.

The HCQA Grant Committee reviews all complete grant proposals according to the established criteria. The committee includes the following individuals:

- Executive Director, OHCQ
- Deputy Director for Federal Programs, OHCQ
- Deputy Director for State Programs, OHCQ
- Chief Fiscal Officer, OHCQ
- Chief Nurse, OHCQ
- Assistant Inspector General, Maryland Department of Health, Office of the Inspector General
- Assistant Attorney General, OHCQ

The use of State funds can be determined directly by the committee. Proposals for federal funding must be submitted to CMS for review and approval. OHCQ cannot approve the use of the federal funds, nor can OHCQ advocate with CMS on behalf of grant applicants.

Dependent upon the stated purpose and scope of the approved and funded project, one or more progress reports will be required in addition to a final report outlining key accomplishments, findings and recommendations for future investments in quality improvement.

Below is the link to the grant application and further guidance:

http://dhmh.maryland.gov/ohcq/Pages/Grants.aspx

## **Applying for a HCQA Grant**

The applicant must be qualified and capable of carrying out the intended project and proposed uses of requested funds. Funds may be granted to any entity permitted under State law, provided that the funds are used to improve the quality of care provided to residents of nursing homes or assisted living facilities.

Such organizations may include but are not be limited to consumer advocacy organizations, quality improvement organizations, industry trade associations, professional societies and networks, teams located at colleges and universities, teams based within governmental agencies or government-sponsored enterprises, and private contractors. Resident and family councils affiliated with long-term care facilities are also eligible to apply.

For more information about how to apply for a HCQA grant, please click on:

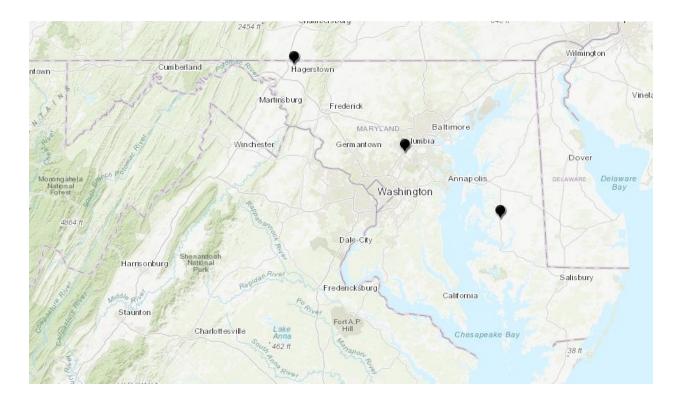
 $\frac{http://dhmh.maryland.gov/ohcq/docs/Grants/HCQA\%20FAQs\%20For\%20HCQA\%20Website\%}{20Revised\%20By\%20TN\%20JP\%204\%2020\%202015.pdf}$ 

## **Available Funds**

In State Fiscal Year 2018, 3 grants were distributed from these accounts. As of the end of State Fiscal Year 2018 (June 30, 2018), the current assets balance available was \$6,800,731 in the Nursing Home Federal CMP Account; \$93,395 in the Nursing Home State CMP Account; and \$38,788 in the Assisted Living State CMP Account.

## **Grants Awarded**

Table 1 shows the geographic distribution of the FY 18 grants that were awarded. Each map pin represents the location of the program or entity that received the grant.



**Table 1: Geographic Distribution of FY 18 Grants** 

Table 2 lists the grants awarded in Fiscal Year 2018, including the grantee, funding source, amount awarded, and purpose and scope of the project.

**Table 2: 2018 Health Care Quality Account Grant Awards** 

Grantee	Funding	Amount	Purpose and Scope
	Source	Awarded	
The Beacon Institute	Federal NH	\$39,561	To provide a specialized training program for care of
			individuals with brain injury in Maryland Nursing Homes.
LW Consulting Inc.	State and	\$493,155	To implement a safe and orderly relocation of residents
	Federal NH		from a closing long term care facility to other settings.
Mental Health	Federal NH	\$12,620	To provide Mental Health First Aid Training/Elders
Association of the			Curriculum to caregivers of elder residents in 9 counties
Eastern Shore			of Maryland's Eastern Shore

## **Contact Information**

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